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Patent
Attorney's Docket No. 016660-103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Patent Application of)	
)	
Kar Yan TAM et al)	Group Art Unit: Unassigned
)	
Application No.: Unassigned)	Examiner: Unassigned
)	
Filed: October 1, 2001)	
)	
For: METHODS FOR EMBEDDING DATA)	
IN DIGITAL AUDIO DATA)	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. A copy of each of the documents cited below is enclosed.

Foreign Patent Applications


Publication No.	Country	Publication Date
WO99/23657	PCT	05/14/1999

To assist the Examiner, the reference is listed on the attached form PTO-1449. It is respectfully requested that an initialled copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

By: 
James A. LaBarre
Registration No. 28,632

Date: October 1, 2001

j1036 U.S. PTO
09/965831

10/01/01

Enclosed for filing is the utility patent application of Kar Yan TAM, Kai Fung TSANG, Sai Ho KWOK and Shing Chi CHEUNG for Methods for Embedding Data in Digital Audio Data.

- Also enclosed are:

1. The first step in the process is to identify the problem or issue that needs to be addressed. This involves gathering information and understanding the context of the problem.

(10/01)

☒ The filing fee has been calculated as follows [] and in accordance with the enclosed preliminary amendment:

C L A I M S					
	No. Of CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$740.00 (101)
Total Claims	28	MINUS 20 =	8	× \$18.00 (103) =	144.00
Independent Claims	7	MINUS 3 =	4	× \$84.00 (102) =	366.00
If multiple dependent claims are presented, add \$280.00 (104)					-0-
Total Application Fee					1,220.00
If small entity status is claimed, subtract 50% of Total Application Fee					610.00
Add Assignment Recording Fee \$40.00 (581) if Assignment document is enclosed					-0-
TOTAL APPLICATION FEE DUE					610.00

- [] This application is being filed without a filing fee. Issuance of a Notice to File Missing Parts of Application is respectfully requested.
- ☒ A check in the amount of \$ 610.00 is enclosed for the fee due.
- [] Charge \$ _____ to Deposit Account No. 02-4800 for the fee due.
- ☒ The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Please address all correspondence concerning the present application to:

James A. LaBarre
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Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: October 1, 2001

By: 

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IN DIGITAL AUDIO DATA)	



INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.


- ☒ [X] No additional fee for submission of an IDS is required.
- ☐ [] The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ [] A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ [] A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ [] Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☐ [] A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

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By: 
James A. LaBarre
Registration No. 28,632

Date: October 1, 2001

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SHEET 1 OF 1

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTORNEY'S DKT No. 016660-103	APPLICATION No. Unassigned
	APPLICANT Kar Yan TAM et al	
	FILING DATE October 1, 2001	GROUP Unassigned

 J1036 U.S. P.
09/065831


U.S. PATENT DOCUMENTS				
Examiner Initials	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication (MM-DD-YYYY)
	Number	Kind Code (if known)		

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
	Number	Kind Code (if known)			Yes	no
	WO99/23657		PCT	05/14/1999		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
Examiner Signature		Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.